

EXHIBIT A

Period Ended September 30, 2022

Report No. 166

CASH RECEIPTS:

General Cash Receipts	for Period	Prior Period	Total	Cumulative Detail			
	\$20,810,793.87	Cumulative	Received	Customer Fund	General Estate	SIPC	Code
Beginning Cash Balance							
Transfer from Debtor's Estate - Securities	0.00	289,841,911.70	289,841,911.70	289,841,911.70			4011
Transfers from Debtor's Estate - BNY Account	0.00	336,660,934.06	336,660,934.06	336,660,934.06			4014
Transfers from Debtor's Estate - Chase Account	0.00	235,156,309.36	235,156,309.36	235,156,309.36			4016
Transfers from Debtor's Estate - Other	0.00	4,036,145.08	4,036,145.08	4,036,145.08			4018
Interest and Dividends	0.00	1,843,180.59	1,843,180.59	1,843,180.59			4040
Closeout Proceeds - Broker Dealers	0.00	37,316,297.78	37,316,297.78	37,316,297.78			4030
Closeout Proceeds - NSCC	0.00	21,783,082.40	21,783,082.40	21,783,082.40			4031
Closeout Proceeds - DTCC	0.00	18,001,787.96	18,001,787.96	18,001,787.96			4032
Sale of Debtor's Assets	0.00	47.26	47.26	47.26			4070
- Sports Tickets	0.00	91,037.20	91,037.20	91,037.20			4071
- Bank Debt Participations	0.00	7,959,450.94	7,959,450.94	7,959,450.94			4072
- DTCC Shares	0.00	204,170.51	204,170.51	204,170.51			4073
- Market Making Business	0.00	1,419,801.63	1,419,801.63	1,419,801.63			4075
- Abtech	0.00	795,000.00	795,000.00	795,000.00			4076
- NSX Shares	0.00	100,734.60	100,734.60	100,734.60			4077
- BLM Air Charter	0.00	6,494,631.95	6,494,631.95	6,494,631.95			4074
- Auction Sales	0.00	644,579.15	644,579.15	644,579.15			4078
- Other	0.00	11,428.57	11,428.57	11,428.57			4079
Administrative Subtenant Rent Revenue	0.00	531,078.49	531,078.49	531,078.49			4111
Adjusting Administrative Subtenant Rent Revenue	0.00	(531,078.49)	-531,078.49	(531,078.49)			4111a
Refunds - Deposits	0.00	9,841.45	9,841.45	9,841.45			4091
- Dues/Subscriptions	0.00	177,247.15	177,247.15	177,247.15			4092
- Car Registrations	0.00	157.00	157.00	157.00			4093
- Vendors	0.00	62,451.27	62,451.27	62,451.27			4094
- Transit Cards	0.00	833.61	833.61	833.61			4095
- Insurance/Workers Comp	0.00	442,311.56	442,311.56	442,311.56			4096
- Ref. - Political Contributions	0.00	144,500.00	144,500.00	144,500.00			4097
- Refunds Other	0.00	50.84	50.84	50.84			4099
Recoveries - Customer Avoidances	0.00	112,392,379.79	112,392,379.79	112,392,379.79			4020
- Pre-Litigation Settlements	0.00	1,903,783,597.98	1,903,783,597.98	1,903,783,597.98			4021
- Litigation Settlements	2,255,072.76	11,425,590,978.38	11,427,846,051.14	11,427,846,051.14			4022
- Donation Settlements	0.00	875,000.00	875,000.00	875,000.00			4023
- Vendor Preferences	0.00	809,850.39	809,850.39	809,850.39			4024
- MSIL Liquidation	0.00	1,034,311.82	1,034,311.82	1,034,311.82			4025
- Employees	0.00	10,674.74	10,674.74	10,674.74			4102
- Taxing Authorities	0.00	12,777.56	12,777.56	12,777.56			4103
- Class Actions	0.00	2,746,747.64	2,746,747.64	2,746,747.64			4104
- NASDAQ	0.00	308,948.49	308,948.49	308,948.49			4105
- NYSE	0.00	183,683.79	183,683.79	183,683.79			4106
- Transaction Fees	0.00	96,816.23	96,816.23	96,816.23			4107
- Other	0.00	796,298.73	796,298.73	796,298.73			4109
Miscellaneous	0.00	0.36	0.36	0.36			4110
Earnings on Trustee's Investments	2,841.67	113,885,489.51	113,888,331.18	113,888,331.18			4120
Interest on Trustee's Savings Accounts	515,452.99	9,407,269.00	9,922,721.99	9,922,721.99			4140
	\$2,773,367.42	\$14,535,132,748.03	\$14,537,906,115.45	\$14,537,906,115.45			
Administration - Advances	0.00	2,228,337,759.34	2,228,337,759.34			2,228,337,759.34	2901
Securities - Paid Bank Loans	0.00	0.00	0.00			0.00	2921
- Cash in Lieu	0.00	849,473,412.47	849,473,412.47			849,473,412.47	2922
Sub-total SIPC Advances	\$0.00	\$3,077,811,171.81	\$3,077,811,171.81			\$3,077,811,171.81	
Funds Transferred from Investment Accounts *See Note (2) on Page 3	0.00	12,557,304,815.23	12,557,304,815.23				1901
Total Cash Receipts	\$2,773,367.42	\$30,170,248,735.07	\$30,173,022,102.49	\$14,537,906,115.45	\$0.00	\$3,077,811,171.81	

Period Ended September 30, 2022

Report No. 166

CASH DISBURSEMENTS:

Administrative Disbursements

General Administrative Disbursements

	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid	Code
Computer - Rental	0.00	11,121.59	11,121.59	5011
- Software Support	0.00	55,159.20	55,159.20	5012
- Equipment Leases	0.00	204,159.01	204,159.01	5013
Employee Related - Salaries-Net	0.00	4,361,844.80	4,361,844.80	5020
- FICA-Employer	0.00	318,550.60	318,550.60	5021
- Fed. & St. Unemploy.	0.00	4,296.08	4,296.08	5023
- Temporary Help	0.00	29,612.50	29,612.50	5024
- Employee Medical Plan	0.00	830,103.99	830,103.99	5025
- Employee LTD	0.00	6,887.03	6,887.03	5026
- Employee Expense Reimbursement	0.00	1,125.87	1,125.87	5027
- Employee Life/AD&D	0.00	9,006.83	9,006.83	5028
- Other	0.00	1,622.90	1,622.90	5029
Insurance - Trustee Bond	0.00	7,800.00	7,800.00	5030
Insurance - Surety & Fidelity Bonds	0.00	37,400.00	37,400.00	5031
Insurance Workers Comp	0.00	12,578.00	12,578.00	5032
- Other	0.00	69,884.04	69,884.04	5039
Fees - Payroll Processing	0.00	8,195.96	8,195.96	5045
Fees - Escrow	0.00	1,221,698.85	1,221,698.85	5046
- Other	0.00	24,168.64	24,168.64	5047
Expenses for Asset Sales	0.00	48,429.09	48,429.09	5048
Rent - Office	0.00	3,987,347.17	3,987,347.17	5050
- Adjustment for Administrative Subtenant Rent Revenue	0.00	(531,078.49)	(531,078.49)	5050a
- Equipment	0.00	1,695.89	1,695.89	5051
- Warehouse	0.00	2,690,128.27	2,690,128.27	5052
- Bulova	0.00	310,130.75	310,130.75	5053
- Other	0.00	69,725.61	69,725.61	5059
Costs - Vacating 885 Third Avenue	0.00	20,179.46	20,179.46	5111
Telephone and Telegraph	0.00	360,456.68	360,456.68	5060
Communication Fees	0.00	670,057.02	670,057.02	5061
Utilities - Electricity	332.65	60,167.83	60,500.48	5070
Office Supplies & Expense - Maint. & Repairs	0.00	79,338.86	79,338.86	5080
- Moving & Storage	3,452.04	555,353.30	558,805.34	5081
- Postage/Handling/Preparation	0.00	40,961.12	40,961.12	5082
- Reproduction	0.00	183,889.65	183,889.65	5083
- Locksmith	0.00	5,811.39	5,811.39	5084
- Security	0.00	249,897.70	249,897.70	5085
- Supplies	0.00	3,865.31	3,865.31	5086
- Temporary Help	0.00	4,588,642.69	4,588,642.69	5087
- Process Server - Complaint	0.00	244,026.52	244,026.52	5088
- Other	0.00	36,250.63	36,250.63	5089
Taxes	0.00	555.51	555.51	5090
NYC Commercial Rent Tax	0.00	154,269.47	154,269.47	5091
Claims Related Costs - Mailing Costs	0.00	23,053.28	23,053.28	5101
- Publication	0.00	163,961.13	163,961.13	5102
- Supplies	0.00	16,244.58	16,244.58	5103
- Printing	0.00	2,207.42	2,207.42	5104
Court Related Noticing - Postage/Handling/Preparation *See Note (1) Below	0.00	0.00	0.00	5106
- Reproduction	0.00	0.00	0.00	5107
- Supplies	0.00	0.00	0.00	5108
Scanning - Investigation	0.00	5,189,846.75	5,189,846.75	5110
Foreign Research	0.00	38,975.00	38,975.00	5112
Miscellaneous	0.00	666.91	666.91	5115
Hosting Expense	404,785.05	68,056,221.90	68,461,006.95	5244
Sub-total General Admin. Disbursements	\$408,569.74	\$94,536,494.29	\$94,945,064.03	
Professional Fees and Expenses				
Trustee Fees	0.00	4,377,662.10	4,377,662.10	5200
Trustee Expenses	0.00	2,549.25	2,549.25	5201
Trustee Counsel Fees (Baker)	5,806,623.22	1,420,582,838.77	1,426,389,461.99	5210
Trustee Counsel Expenses (Baker)	108,431.65	21,076,865.51	21,185,297.16	5211
Trustee Counsel Fees (Windels)	1,861,547.20	80,692,947.03	82,554,494.23	5212
Trustee Counsel Expenses (Windels)	16,630.03	758,010.37	774,640.40	5213
Special Counsel Fees	0.00	104,497,861.78	104,497,861.78	5220
Special Counsel Expenses	0.00	15,212,066.44	15,212,066.44	5221
Accountant Fees	0.00	0.00	0.00	5230
Accountant Expenses	0.00	0.00	0.00	5231
Consultant Fees	303,069.04	478,825,147.54	479,128,216.58	5240
Consultant Expenses *See Note (1) Below	76,713.44	20,946,489.71	21,023,203.15	5241
Investment Banker Fees	0.00	1,050,000.00	1,050,000.00	5242
Sales Tax	28,390.00	1,821,110.94	1,849,500.94	5243
Mediator Fees	25,000.00	5,622,755.93	5,647,755.93	5245
Mediator Expenses	0.00	15,067.90	15,067.90	5246
Receiver Counsel Fees	0.00	300,000.00	300,000.00	5260
Receiver Counsel Expenses	0.00	6,449.08	6,449.08	5261
Receiver's Consultants Fees	0.00	316,000.00	316,000.00	5262
Receiver's Consultants Expenses	0.00	15,000.00	15,000.00	5263
Sub-total Professional Fees and Expenses	\$8,226,404.58	\$2,156,118,822.35	\$2,164,345,226.93	
Total Administrative Disbursements	\$8,634,974.32	\$2,250,655,316.64	\$2,259,290,290.96	

Period Ended September 30, 2022

Report No. 166

<u>CASH DISBURSEMENTS:</u>		Net Change	Prior Period	Total	Cumulative Totals			
<u>Claim Related Disbursements</u>		for Period	Cumulative	Paid	Customer Fund	General Estate	SIPC	Code
Customer - Paid Bank Loan								6021
- Securities - Cash in Lieu		0.00	14,330,315,880.93	14,330,315,880.93	13,480,842,468.46		849,473,412.47	6022
- Securities - Purchases								6023
- Indemnification								6031
- Cash Balance								6041
Customer -								6050
Customer -								6060
Customer - Trustee Journal Entry								
per Allocation								6000
Other - Contractual Commitments								6111
- Pd. Bank Loan								6121
- Indemnification								6131
Other -								6140
Other -								6150
Other -								6160
Other - Trustee Journal Entry								
per Allocation								6100
General Creditor								6200
Sub-total Claim Disbursements		\$0.00	\$14,330,315,880.93	\$14,330,315,880.93	\$13,480,842,468.46	\$0.00	\$849,473,412.47	
<u>Other Disbursements (except investments)</u>								
SIPC - Refunds - Recoupment								6301
- Indemnification								6310
- Contr. Commitments								6311
- Paid Bank Loan								6321
- Subrogation		0.00	252,646,671.98	252,646,671.98	252,646,671.98			6322
Other -								6400
Other -								6401
Other -								6402
Other -								6403
Other -								6404
Sub-total Other Disbursements		\$0.00	\$252,646,671.98	\$252,646,671.98	\$252,646,671.98	\$0.00	\$0.00	
Investments by Trustee - Purchases *See Note (2) Below		\$518,294.66	\$13,315,820,071.65	\$13,316,338,366.31				1900
Sub-total Administrative Disb. - page 2		\$8,634,974.32	\$2,250,655,316.64	\$2,259,290,290.96	\$0.00	\$0.00	\$2,259,290,290.96	
Total Disbursements		\$9,153,268.98	\$30,149,437,941.20	\$30,158,591,210.18	\$13,733,489,140.44	\$0.00	\$3,108,763,703.43	
Total Receipts less Disbursements		(\$6,379,901.56)	\$20,810,793.87	\$14,430,892.31	\$804,416,975.01	\$0.00	(\$30,952,531.62)	
Ending Cash Balance *See Note (3) Below		\$14,430,892.31						

* Note (2) Two preferred custody accounts and an insured money market account have been established at Citibank for investment purposes and additional investment accounts are maintained at JP Morgan Chase and Goldman Sachs. The Goldman Sachs Account was established in December 2016 in connection with the Chais Settlement. A Broker's account, which was previously established at Morgan Joseph, was closed in January 2012. Since January 20, 2009, \$13,316,338,366.31 of recovered funds have been transferred into these investment accounts and \$12,557,304,815.23 of these funds have subsequently been used for interim distributions to customers with allowed claims and for operations. (See Page 5 for more details).

* Note (3) The ending cash balance includes a \$12,973,022.12 balance in the Citibank Business Checking Account and \$1,457,870.19 in the Citibank Distribution Account.

Period Ended September 30, 2022

Report No. 166

SUMMARY INFORMATION ON STATUS OF LIQUIDATION

	Customer Claimants	Broker/Dealer Claimants	General Estate Claimants
Claims received	<u>16,521</u>	<u>49</u>	<u>95</u>
Claims satisfied by distribution of cash and/or securities:			
a. As part of the transfer in bulk	1,741		
b. On an account by account basis-Fully Satisfied	913		
c. On an account by account basis-Partially Satisfied	<u>2,654</u>	<u>-</u>	<u>-</u>
Claims Determined - no claims	12		
Claims Deemed Determined - pending litigation	11		
Claims Determined - withdrawn	417		
Claims Determined but not yet satisfied	1		
Claims under review	-	49	95
Claims Denied:			
a. Other Denials for which no objections were filed	10,338		
b. Denials for which objections were filed:			
- Trustee's Determinations Affirmed	3,063		
- Hearing not yet set	25		
- Set for Hearing	<u>-</u>	<u>49</u>	<u>95</u>
	<u>13,867</u>	<u>49</u>	<u>95</u>

Filing Date Value

Customer name securities distributed

Customer fund securities distributed

\$

Douglas H. Picard / by Denis O. Luciani
(Trustee's Signature)

10/18/2022
(Date)

William Kingsford
(Accountant's Signature)

10/18/2022
(Date)

Period Ended September 30, 2022

Report No. 166

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC
Investment Accounts

	Citibank Preferred Custody Account				Citibank IMMA Account	
	Cash Assets/Mutual Funds (5)	U.S. Treasury Bills (4)	Accrued Interest (4)	Account Balance	Account Balance (5)	Total Citibank
Balance August 31, 2022	987,733	159,329,109	1,493	160,318,335	198,579,971	358,898,306
Maturing of U.S. Treasury Bills				-		-
Purchase of U.S. Treasury Bills				-		-
Sales of Equity Securities				-		
Realized Gains (Losses)				-		
Unrealized Gain or (Loss)		283,317		283,317		283,317
Interest and Dividends Earned						
Interest	1,493		325	1,818	96,592	98,410
Dividends				-		
Transfer of Funds to the Citibank Operating Account						
Balance September 30, 2022	989,226	159,612,426	1,818	160,603,470	198,676,563	359,280,033

	JP Morgan Chase				Goldman Sachs		
	Cash/Escrow Fund (5)	Savings/Commercial (5)	U.S. Treasury Bills (4)	Account Balance	Funds (5)	Investments (5)	Account Balance
Balance August 31, 2022	22,234	296,320,308	100,270,098	396,612,640	558,130	2,678,337	3,236,467
Maturing of U.S. Treasury Bills				-			
Purchase of U.S. Treasury Bills				-			
Annual Bank Fee							-
Distributions Received					142,673	(142,673)	-
Realized Gain on Sale of Securities							-
Unrealized Gain or (Loss)			139,329	139,329	22	(3,374)	(3,352)
Interest and Dividends Earned	17	418,861		418,878	1,331		1,331
Transfer between Funds				-			-
Balance September 30, 2022	22,251	296,739,169	100,409,427	397,170,847	702,156	2,532,290	3,234,446

* Note (4) The summation of U.S. Treasury Bills is \$260,023,671.

* Note (5) The summation of these short-term investments, money market funds, savings accounts, mutual fund accounts and other investments, including alternative investments is \$499,661,655.

Period Ended September 30, 2022

Report No. 166

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC
Consultant Expenses for Court Related Noticing and Interim Distributions

	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid
Postage / Handling / Preparation	0.00	545,036.89	545,036.89
Printing	0.00	44,945.40	44,945.40
Reproduction Costs	0.00	762,418.30	762,418.30
Supplies	0.00	101,433.61	101,433.61
Total *See Note Below	<u><u>\$0.00</u></u>	<u><u>\$1,453,834.20</u></u>	<u><u>\$1,453,834.20</u></u>

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*Note: All of the expenses above were incurred by consultants in connection with court related noticing procedures and Interim Distributions, which are included in the Consultant Expenses line (Account #5241) on Page 2 of the SIPC Form 17.